

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of Lee Weng, et al.
 For: USE OF FOCUSED ULTRASOUND
 FOR VASCULAR SEALING
 Application Serial No.: Not Yet Assigned
 Filed: July 10, 2003
 Attorney Docket No.: THRSP-0002-0001

Examiner: Not yet assigned
 Group Art Unit: Not yet assigned

UTILITY
 PATENT APPLICATION
 TRANSMITTAL
 (PTO/SB/05 (03-01))

19587 U.S. PRO
 10/616831
 07/10/03

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. Applicant claims small entity status. See 37 CFR 1.27.
3. Specification [Total Pages: 32]
Preferred arrangement set forth below
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table,
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. Drawing(s). See 35 U.S.C. 113 [Total Sheets: 10]
5. Oath or Declaration [Total Pages: 9]
 - a. Newly executed (original or copy)
 - b. Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
 - c. DELETION OF INVENTOR(S)
 - i. Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. Application Data Sheet. See 37 CFR 1.76
7. CD ROM or CDR In Duplicate
8. Nucleotide and/or Amino Acid Sequence
(if applicable, all necessary)
 - a. Computer Readable Form
 - b. Specification Sequence Listing On:
 - i. CD ROM or CD-R (2X); or
 - ii. Paper
 - c. Statements verifying identity of above copies

ADDRESS TO:
 Mail Stop Patent Application
 Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers
(Cover sheet & document(s))
10. 37 CFR 3.73(b) Statement
(when there is an assignee)
 - Power of Atty
11. English Translation Document
(if applicable)
12. Information Disclosure Statement
(PTO-1449)
 - Copies of IDS Citations
13. Preliminary Amendment
14. Return Receipt Postcard
(MPEP 503)
(Should be specifically itemized)
15. Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. Non-publication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation

Divisional

Continuation-in-part (CIP)

Prior application information:

of prior application No.: 09/696,076

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

Group Art Unit: 3742

Examiner: Shawntina T. Fuqua

19. Correspondence Address:

<input type="checkbox"/> Customer No.	Insert Customer No. or Affix Customer No. Label Here	<input checked="" type="checkbox"/> OR Address Below
---------------------------------------	--	--

Correspondence Address: Sheldon K. Lee
Associate GC
Therus Corporation
2401 4th Ave.; Suite 200
Seattle, CA 98121

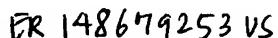
20. Respectfully Submitted By:

Name: Sheldon K. Lee	Attorney Registration No.: 53,197
Signature: 	Date: July 10, 2003

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this correspondence is being deposited with the U.S. Postal Service in a sealed envelope as first class mail with postage thereon fully prepaid addressed to: Mail Stop Patent Application, Commissioner of Patents, PO Box 1450, Alexandria, VA 22313-1450 on June 5, 2003.

Date: July 10, 2003

Insert Express Mail Label Here: 


Signature

19952 U.S. PTO
07/10/03

FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT **(\$)** 375

Complete if Known

Application Number	Not yet assigned
Filing Date	July 10, 2003
First Named Inventor	Lee Weng, et al.
Examiner Name	Not yet assigned
Art Unit	Not yet assigned
Attorney Docket No.	THRSP-0002-0001

METHOD OF PAYMENT (check all that apply)

Check Credit card Money Order Other None

Deposit Account:

Deposit Account Number
Deposit Account Name

The Director is authorized to: (check all that apply)

Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s) during the pendency of this application
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code (\$)	Fee (\$)	Fee Code (\$)	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	410	2252	205	Extension for reply within second month	
1253	930	2253	465	Extension for reply within third month	
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,970	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,300	2501	650	Utility issue fee (or reissue)	
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	750	2810	375	For each additional invention to be examined (37 CFR 1.129(b))	
1801	750	2801	375	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 0

**or number previously paid, if greater; For Reissues, see above

(Complete if applicable)

SUBMITTED BY

Name (Print/Type)	Sheldon, K. Lee	Registration No. (Attorney/Agent)	53,197	Telephone	206-832-4636	
Signature	ER 148679253 US				Date	July 10, 2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.